In the time it takes to read this, the regulations that govern your business could change. Regulatory change is happening faster than ever, which makes compliance more challenging than ever. And even when regulations don’t change, organizations do. Whether it’s a merger or a move to another country, a major internal shift can suddenly expose the business to a whole new set of regulatory concerns.

Consider, too, the growing mountains of data covered under different compliance requirements. If it seems almost impossible to manage compliance, maybe it is—especially for organizations trying to meet today’s requirements with yesterday’s slow, reactive tools.

Torn between regulatory responsibilities and shareholder responsibilities, you may end up diverting IT and business resources away from business goals to deal with compliance. The good news is you can now move toward better processes that reduce the effort and cost—and free up resources to grow the business.

**CAPABILITIES: WHAT IT TAKES TO MATURE COMPLIANCE PROCESSES**

Managing regulatory and corporate compliance today means a collaborative, coordinated effort to:

- Align operational policies with regulatory and business requirements
- Use agile intelligence gathering to stay abreast of new and changing obligations
- Look beyond the immediate and tactical toward innovative solutions
- Ensure the proper controls are designed and operating effectively

RSA Archer’s Regulatory and Corporate Compliance Management solution provides key capabilities to:

*Establish a business context for compliance* by ensuring the compliance team understands business and IT assets and relationships.

*Meet regulatory obligations*, allocating resources for compliance and carefully tracking changes.

*Adopt policies and standards* to establish a governance lifecycle across the organization.

*Implement and monitor operational controls* to ensure they’re effective and continuously refined.

**STAGE BY STAGE: MAPPING THE MATURITY JOURNEY**

RSA Archer Maturity Models guide organizations through the journey from baseline risk management to optimized processes that balance opportunities and risks. There are five stages along the way:
THE SILOED STAGE: MASTERING THE BASICS
Organizations at this stage are preparing to evolve traditional approaches to compliance management.

- The organization as a whole understands its broad compliance obligations, but each area manages its compliance separately with no centralized view.
- Control performance is assessed ad hoc or as part of an external audit or assessment.

THE TRANSITION STAGE: STABILIZING AND STRENGTHENING
Moving from Siloed to Managed, the organization develops and documents compliance policies.

- The organization creates a comprehensive catalog of compliance requirements.
- Operational standards are developed with input from key compliance stakeholders.
- A policy lifecycle maintenance process goes into place to ensure policies and standards align with larger organizational needs.
- Remediation activities are consistently monitored and reported.

THE MANAGED STAGE: STANDING FIRM
The organization’s understanding of the relationship between regulatory and business goals deepens.

- A system of record makes it possible to manage the full lifecycle of compliance activities.
- Stakeholders collaboratively define processes and policies for risk and control.
- Consolidated reports provide management visibility into compliance based on the defined business hierarchy.

THE TRANSFORM STAGE: ASSERTING CONTROL
A comprehensive view of compliance provides a full understanding of the impact on the business.

- Monitoring capabilities alert stakeholders to impactful regulatory changes.
- End-to-end impact analyses are performed at the business process level.
- Alignment with compliance extends to strategic objectives such as corporate stewardship.
• Embedded business context is used to apply a standardized approach to evaluating compliance risk.

THE ADVANTAGED STAGE: RIDING THE WAVE
Compliance speaks the language of business, responding to emerging business requirements with common approaches and decision making processes.

• Business context is completely infused into compliance processes and technologies.
• Embedded business context makes it possible to apply a risk-based approach to managing compliance.
• Compliance is maintained as part of normal business operations.
• Reporting on compliance is a simple exercise requiring minimal effort.

Ultimately, organizations realize the competitive advantages of harnessing compliance risk: getting to market first, efficiently launching new products, and avoiding issues that could wreck reputations and bottom lines.

For more detailed information about the RSA Archer Maturity Model for Regulatory and Corporate Compliance Management, visit rsa.com/en-us/resources.

ABOUT THE RSA ARCHER MATURITY MODEL SERIES
RSA Archer’s vision is to help organizations transform compliance, manage risk and exploit opportunity with Risk Intelligence made possible via an integrated, coordinated GRC program. The RSA Archer Maturity Model series outlines the segments of risk management that organizations must address to transform GRC.

ABOUT RSA
RSA offers Business-Driven Security™ solutions that uniquely link business context with security incidents to help organizations manage risk and protect what matters most. RSA solutions are designed to effectively detect and respond to advanced attacks; manage user identities and access; and reduce business risk, fraud and cybercrime. RSA protects millions of users around the world and helps more than 90% of the Fortune 500 companies thrive in an uncertain, high risk world. For more information, visit rsa.com.