

RSA ARCHER® CORPORATE OBLIGATIONS MANAGEMENT

USE CASE FOR REGULATORY & CORPORATE COMPLIANCE SOLUTIONS

THE CHALLENGE

Today's complex regulatory environment requires dealing with frequent changes to laws, regulations and industry requirements and a constantly shifting compliance landscape. Unfortunately, many organizations are ill equipped to handle the magnitude and volume of regulatory changes and the impact they may have. The lack of defined processes to monitor for changes, plus limited visibility into what may be looming on the horizon, exposes organizations to unnecessary risk. Without established processes, organizations often fall back on business controls that are based on outdated requirements or other ad-hoc methods in their attempts to adapt to changes in compliance obligations.

The lack of visibility and understanding of impacts from regulatory changes often results in business compliance gaps and the increased risk of penalties and reputational damage. With the rapid expansion of new and changing regulatory mandates, the lack of a flexible change management process further results in duplicative or conflicting control activities. Business controls that are implemented inconsistently become ineffective at protecting the organization, hindering true understanding how shifts in compliance obligations impact the organization.

OVERVIEW

RSA Archer® Corporate Obligations Management provides the necessary tools and capabilities to document external regulatory obligations and establish a systematic review and approval process for tracking changes to those obligations, understanding the business impact, and prioritizing a response.

With RSA Archer Corporate Obligations Management, you can quickly and accurately deliver guidance to senior management and the IT organization regarding regulatory and other compliance requirements the business must manage in conjunction with business operations. By improving the linkage between organizational compliance requirements and internal controls, compliance gaps are reduced and senior management gains better insight into issues that impact the business. RSA Archer Corporate Obligations Management provides an agile policy framework to help you keep pace with changing business and IT compliance risk..

KEY FEATURES

- Impact analysis, change management, and issue management controls for corporate compliance obligations
- Regulatory intelligence feeds to drive review and response activities
- Exception management and governance through appropriate risk acceptance and sign-off

KEY BENEFITS

With RSA Archer Corporate Obligations Management, you can:

- Ensure regulatory changes are analyzed and responded to appropriately
- Improve the ability to link regulatory requirements to internal controls
- Utilize less time and effort to research control requirements and modify policies in response to changing regulations

The screenshot displays the RSA Archer Suite interface for a Compliance Analyst. The top navigation bar includes the RSA Archer Suite logo, a search bar, and user profile information (susanre). The main content area is divided into several sections:

- Compliance Analyst Quick Links:** Three buttons for 'Review Control Procedures', 'Create New Evidence', and 'Open New Finding', each with a brief description.
- Unreviewed Regulatory Intelligence Items:** A horizontal bar chart showing the number of unreviewed items from various sources: CFPB - News (21), Office of the Comptroller of the Currency (OCC): Alerts (9), and U.S. Department of Health and Human Services (HHS): News (3).
- My Design Tests Queue:** A pie chart showing the status of design tests: 32... (In Process), 1.9... (Awaiting Review), and 65... (Approved).
- Policy Changes Requested:** A table listing policy changes with columns for Tracking ID, Name of Change, Type of Change, Change Requestor, Status, Date of Request, and Deadline Date. One record is shown with Tracking ID 546550.

Page 1 of 1 (1 records)

ABOUT RSA

RSA Archer® Suite enables organizations to manage the broad dimensions of business risk and confidently pursue digital opportunity. The suite is part of the RSA portfolio of business-driven security solutions, which provides a unified approach to managing digital risk that hinges on integrated visibility, automated insights and coordinated actions. RSA protects millions of users around the world and helps more than 90 percent of the Fortune 500 companies thrive and continuously adapt to informational change. For more information, go to rsa.com.

