

# RSA® ARCHER® BOTTOM-UP RISK ASSESSMENT

## Use Case for Enterprise & Operational Risk Management

### The Challenge

The introduction of new products and services, mergers and acquisitions, business process changes, and fraud are often viewed as risk projects to be evaluated when making decisions to move forward or enhance risk treatments. All too often, these kinds of project reviews are performed on an ad hoc basis, using an unstructured and inconsistent approach. Bottom-up, project oriented risk assessments are prone to incomplete and unreliable information. In addition, IT and business teams are often asked to collect the same assessment data via spreadsheets, Word documents, and email for different risk and compliance assessments. This manual approach results in missed projects and inconsistent and missed evaluation questions, risk treatments, and remediation plans. It also lacks an easy way to compare results of multiple assessments. Since risks cannot be identified or assessed properly, losses, incidents, or other surprises related to the project may arise at a later date. Without visibility to or accountability in addressing known risks identified through bottom-up risk assessments, resource misallocation and slow implementation in risk treatment are the typical results.

### Overview

**RSA® Archer® Bottom-Up Risk Assessment** allows you to engage your teams via targeted project risk assessments. Projects can include a fraud assessment or an assessment of a new product or service, business process, merger, or acquisition. Projects can be documented and questionnaires can be created with custom questions, as well as questions derived from RSA Archer's extensive library of thousands of out-of-the-box questions. When risks are deemed too high, risk treatments and remediation plans can be documented and tracked.

### Key Features

- Consistent approach to identify and assess project-related risk
- Oversight and management of all risk assessments in process
- Risk treatment plans are known across all assessments and implementation plans can be monitored to completion
- Consolidated list of prioritized risk treatments and remediation plans
- Visibility into assessment progress, risk treatments and remediation activity via pre-defined reports and risk dashboards
- Named accountability for assessments and remediation plans

### Key Benefits

With RSA Archer Bottom-Up Risk Assessment, you benefit from:

- Consistent approach to identify and assess project-related risk
- Oversight and management of all risk assessments in process

- Known risk treatment plans across all assessments and implementation plans that can be monitored to completion
- Consolidated list of prioritized risk treatments and remediation plans
- Visibility into assessment progress, risk treatments and remediation activity via pre-defined reports and risk dashboards
- Accountability for risk assessment and remediation activities

310284 Application Assessment

65 of 65 Completed

SAVE EDIT DELETE Record 1 of 2 RELATED RECALCULATE EXPORT PRINT EMAIL

**INSTRUCTIONS**

**GENERAL INFORMATION**

Questionnaire ID: 310284 Overall Status: **Approved**

Target: Accounting Application Progress Status: 100%

Year: 2015 Risk Rating:

Quarter: Q4 History Log: | View History Log |

Due Date: 11/6/2015

**WORKFLOW**

Submitter: Intihar, Alyson Submission Status: Submitted

Submit Date: 11/5/2015

Reviewer: Bum, Denise Review Status: Approved

Review Date: 11/5/2015

**QUANTITATIVE SUMMARY**

Quantitative Summary:

Category	Correct	Incorrect	% Correct	Inherent Score	Residual Score	Open Findings
General	12	0	100	0	0	0
Application Development	12	2	86	2	2	2
Access Control	11	0	100	0	0	0
Security Monitoring and Response	8	1	89	1	1	1
Recovery and Business Continuity	7	0	100	0	0	0
Operations Management	8	0	100	0	0	0
Regulatory Compliance	4	0	100	0	0	0
TOTAL	62	3	95	3	3	3

**COMMENTS**

**GENERAL**

ARA-00279: Have Application Analysts/Architects been assigned to support application management tasks? Yes

ARA-00280: Have job descriptions and responsibilities been defined for members of the Application Management teams? Yes

RSA Archer GRC Enterprise Governance, Risk and Compliance Version 6.0

## For more information

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