RSA ARCHER®
AUDIT PLANNING & QUALITY
USE CASE FOR AUDIT MANAGEMENT
THE CHALLENGE

According to PwC’s 2015 State of Internal Audit Survey of more than 1,300 chief audit executives, internal audit managers, and board members, 60% said that in the next five years internal audit should have a bigger role in the enterprise risk management process. However, internal audit’s main challenge is not having access to broad, dynamic enterprise risk and control information and analysis and instead relying only on their static audit universe risk assessment (AURA) to evaluate risk and drive audit work. This prevents internal audit from adjusting their audit plans to rapidly changing risks and business concerns. With decentralized audit plan and risk assessment documentation captured in multiple tools and systems that are difficult to integrate, there is no easy, fluid way to manage audit plans, let alone coordinate objectives among risk and compliance groups.

Finally, internal audit is under pressure from audit committees and management to improve their processes; yet their quality control procedures are sporadic, inconsistent and difficult to follow up on.

OVERVIEW

RSA Archer® Audit Planning & Quality enables internal audit teams to define their audit entities and universe, risk assess them, plan for audit engagements during the coming periods and manage their audit staff and schedule. Since Audit Planning & Quality integrates rich management risk and control information, internal audit can ensure their audit objectives are aligned with enterprise risk management and other related groups. Audit Planning & Quality puts you in control of the entire audit planning lifecycle, enabling improved governance of audit-related activities while also providing integration with your risk and control functions.

KEY FEATURES

- Complete workflow to create and assess audit entities, perform risk assessments and manage audit plans
- Workflow to manage your audit staff and scheduling of audits
- Centralized location for storing and managing audit plans, audit entities, and assessment results
- Audit quality assurance and review checklists

KEY BENEFITS

With RSA Archer Audit Planning & Quality, you will be able to:

- Execute on a dynamic, risk-driven audit plan that is aligned with the organization’s priorities and focuses on the most important risks
- Easily provide Board-level reporting that keeps the audit committee well-informed of the status of audit plans, risk and critical findings
• Demonstrate the strategic value of internal audit and more efficient use of audit resources
• Reduce external auditor fees by providing access to self-serve information they need

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